



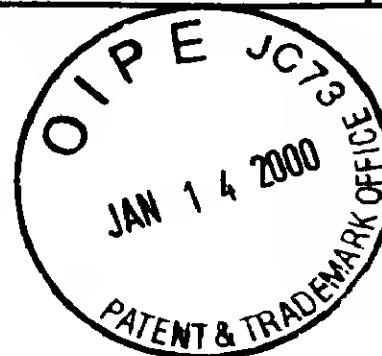
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#4

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/433,705	11/04/99	YAMAZAKI	0756-2062

022204
SIXBEY FRIEDMAN LEEDOM AND FERGUSON
SUITE 800
8180 GREENSBORO DRIVE
MCLEAN VA 22102



NOT ASSIGNED

2811

DATE MAILED:

12/08/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 2204.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 378 for 21 total claims over 20.
\$ 936 for 12 independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

P. Walker
Customer Service Center
Initial Patent Examination Division (703) 308-1202

01/18/2000 CCETIN 00000001 09433705

01 FC:101
02 FC:105
03 FC:102
04 FC:103

760.00 OP
130.00 OP
936.00 OP
378.00 OP



Section #
114

- 1 -

Docket: 0756-2062

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT Application of:)
SHUNPEI YAMAZAKI) Art Unit: 2811
Serial No. 09/433,705)
Filed: November 4, 1999)
For: METHOD OF FABRICATING A)
SEMICONDUCTOR DEVICE)

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on 1-13-00.

Rose M. Fichtel
Rose M. Fichtel

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF APPLICATION - FILING DATE GRANTED

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted dated December 8, 1999, submitted herewith are the following documents for filing in the above-referenced application:

1. Declaration and Power of Attorney
2. Assignment and Assignment Cover Sheet
3. Copy of Notice to File Missing Parts of Application - Filing Date Granted
4. Information Disclosure Statement

5. A check in the amount of \$2,244.00 is attached to cover the filing fee - \$760.00; \$40.00 - assignment cover sheet; \$130 - surcharge and \$1,314.00 - additional claims.

All formal requirements now having been met, it is requested that the Official Filing Receipt be issued.

The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 (except the Issue Fee) which may be required now or hereafter, or credit any overpayment, to Deposit Account No. 19-2380(0756-2062). A duplicate of this sheet is attached.

Respectfully submitted,



Eric J. Robinson
Reg. No. 38,285

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